



## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/802,251	03/17/2004	Joe Hasiewicz	82548

22242  
 FITCH EVEN TABIN AND FLANNERY  
 120 SOUTH LA SALLE STREET  
 SUITE 1600  
 CHICAGO, IL 60603-3406

CONFIRMATION NO. 5145

## FORMALITIES LETTER



\*OC000000012860553\*

Date Mailed: 06/03/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/05/2004 HLE333 00000062 061135 10802251

FILED UNDER 37 CFR 1.53(b)

01 FC:1051 130.00 DA

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$130 for a Large Entity

- \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria VA 22313-1450

*A copy of this notice **MUST** be returned with the reply.*

*CLG*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appln No.: 10/802,251

Filed: March 17, 2004

Applicant(s): Joe HASIEWICZ et al.

Title: EQUIPMENT HEALTH  
MONITORING ARCHITECTURE  
FOR FLEETS OF ASSETS

Art Unit: Not yet assigned

Examiner: Not yet assigned

Attorney Docket No.: 82548

Customer No.: 22242

Confirmation No. 5145

**CERTIFICATE OF MAILING**

I hereby certify that this paper is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this date.

8/2/04  
Date

*Mark W. Hetzler*

Mark W. Hetzler

Registration No. 38,183

Attorney for Applicant(s)

Mail Stop MISSING PARTS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Sir:

In response to the Notice to File Missing Parts dated June 3, 2004,

- ☒ A copy of the Notice to File Missing Parts is enclosed.
- ☒ An executed Declaration for Patent Application, including Power of Attorney, is enclosed.
- ☐ \_\_\_ sheet(s) of drawings are enclosed. ☐ Formal ☐ Informal
- ☒ An Assignment of the invention to SMARTSIGNAL CORPORATION, and Recordation Form Cover Sheet - Patents Only - Form PTO-1595, are enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the fee for recording the assignment is enclosed.
- ☒ The Recordation Form Cover Sheet includes authorization to charge our Deposit Account for recording the assignment.

Application No. 10/802,251  
Response dated August 2, 2004  
Notice to File Missing Parts dated June 3, 2004

☒ Fee Calculation:

Fee Calculation For Claims As Filed

<input type="checkbox"/> Provisional Application Basic Fee	\$ 160.00	_____
Surcharge under 37 C.F.R. §1.16(1)	\$ 50.00	_____

<input checked="" type="checkbox"/> Non-Provisional Utility Application Basic Fee	\$ 770.00	_____
<b><i>Filing Fees previously paid</i></b>		

Independent Claims	_____ - 3 = 0	x \$ 86.00 = \$ 0.00
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Total Claims	_____ - 20 = 0	x \$ 18.00 = \$ 0.00
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Fee for Multiply Dependent Claims	\$ 290.00	_____
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Surcharge under 37 C.F.R. §1.16(e)	\$ 130.00	\$ 130.00
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<input type="checkbox"/> Design Application Basic Fee	\$ 340.00	_____
Surcharge under 37 C.F.R. §1.16(e)	\$ 130.00	_____

Total Filing and/or Surcharge Fee	\$ 130.00
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<input type="checkbox"/> Applicant(s) assert entitlement to Small Entity Status (37 C.F.R. § 1.27), reducing the Filing/Surcharge Fee by half to:	\$ _____
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☐ A check in the amount of \$\_\_\_\_\_ to cover the Filing and/or Surcharge Fee is enclosed.

☒ Charge \$ 130.00 to Deposit Account No. 06-1135.

☒ The Director is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 06-1135. Should no proper payment be enclosed herewith, the Director is authorized to charge the unpaid amount to Deposit Account No. 06-1135. This sheet is filed in triplicate.

August 2, 2004  
Date

Mark W. Hetzler  
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